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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No. | 500.38506X00 |
| | First Inventor or Application Identifier | Yoshikuni WATANABE, ET AL. |
| | Title | COOPERATIVE SYSTEM AND METHOD THEREFOR |
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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
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| 2. <input checked="" type="checkbox"/> Specification [Total Pages 36] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | 6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 14] | ACCOMPANYING APPLICATION PARTS |
| 4. Oath or Declaration [Total Pages 4] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
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| Algeria | 2105 | 24.0 | 125.0 | 100 | 92 | 0.002 | 6.2 | 0.5 |
| Algeria | 2110 | 24.5 | 130.0 | 100 | 93 | 0.001 | 6.5 | 0.5 |
| Algeria | 2115 | 25.0 | 135.0 | 100 | 94 | 0.0005 | 6.8 | 0.5 |
| Algeria | 2120 | 25.5 | 140.0 | 100 | 95 | 0.0002 | 7.0 | 0.5 |
| Algeria | 2125 | 26.0 | 145.0 | 100 | 96 | 0.0001 | 7.2 | 0.5 |
| Algeria | 2130 | 26.5 | 150.0 | 100 | 97 | 0.00005 | 7.5 | 0.5 |
| Algeria | 2135 | 27.0 | 155.0 | 100 | 98 | 0.00002 | 7.8 | 0.5 |
| Algeria | 2140 | 27.5 | 160.0 | 100 | 99 | 0.00001 | 8.0 | 0.5 |
| Algeria | 2145 | 28.0 | 165.0 | 100 | 100 | 0.000005 | 8.2 | 0.5 |
| Algeria | 2150 | 28.5 | 170.0 | 100 | 100 | 0.000002 | 8.5 | 0.5 |
| Algeria | 2155 | 29.0 | 175.0 | 100 | 100 | 0.000001 | 8.8 | 0.5 |
| Algeria | 2160 | 29.5 | 180.0 | 100 | 100 | 0.0000005 | 9.0 | 0.5 |
| Algeria | 2165 | 30.0 | 185.0 | 100 | 100 | 0.0000002 | 9.2 | 0.5 |
| Algeria | 2170 | 30.5 | 190.0 | 100 | 100 | 0.0000001 | 9.5 | 0.5 |
| Algeria | 2175 | 31.0 | 195.0 | 100 | 100 | 0.00000005 | 9.8 | 0.5 |
| Algeria | 2180 | 31.5 | 200.0 | 100 | 100 | 0.00000002 | 10.0 | 0.5 |
| Algeria | 2185 | 32.0 | 205.0 | 100 | 100 | 0.00000001 | 10.2 | 0.5 |
| Algeria | 2190 | 32.5 | 210.0 | 100 | 100 | 0.000000005 | 10.5 | 0.5 |
| Algeria | 2195 | 33.0 | 215.0 | 100 | 100 | 0.000000002 | 10.8 | 0.5 |
| Algeria | 2200 | 33.5 | 220.0 | 100 | 100 | 0.000000001 | 11.0 | 0.5 |

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- 1 -

COOPERATIVE SYSTEM AND METHOD THEREFOR

CROSS-REFERENCE TO RELATED APPLICATION

This application relates to U.S. Patent Application Serial Number 09/443102 filed on November 18, 1999 and assigned to the present assignee, and U.S. Patent Application Serial Number being filed on based on Japanese Application Serial No. 11-070623 filed on March 16, 1999 and assigned to the present assignee. The contents of those applications are incorporated herein by reference.

10

BACKGROUND OF THE INVENTION

The present invention relates to an inter-system cooperative system for implementing functional cooperation among a plurality of information systems, and a method therefor.

15

Heretofore, information systems corresponding to various kinds of business have been developed and put to practical use. In recent years, attempts for implementing a wide variety of services by making those existing information systems cooperate have been made.

20

FIG. 10 shows an example of conventional cooperation among information systems. A teller system 1001 is a system to be used when conducting various kinds of teller business in a teller shop. An accounting system 1002 is a system to be used in a bank when giving services

25

concerning giving and taking of money. An investment trust system 1003 is a system to be used in a securities company when giving services concerning investment trust. For example, it is now assumed that giving and taking of money

5 has occurred in the teller system 1001. In order to transmit its information from the teller system 1001 to the accounting system 1002 and automatically conduct the giving and taking of money between predetermined accounts, it is necessary to connect the teller system 1001 to the

10 accounting system 1002 and make them operate in cooperation. Furthermore, in order to introduce an Internet banking system 1004, connect each customer at a client 1005 to the Internet banking system 1004 via Internet 1006, and provide each customer with various kinds of bank service, it is

15 necessary to connect the Internet banking system 1004 to the accounting system of a bank and make them operate in cooperation.

When connecting information systems and making them cooperative as heretofore described, individual coping

20 has heretofore been conducted. In other words, information systems to be made cooperative are individually subjected to alteration (such as function addition) to become cooperative. However, there are a great variety of kinds of information systems, and the number of their connection

25 combinations is also very large. In such a scheme that systems to be made cooperative are individually altered, the development is troublesome and rapid inexpensive diversification is difficult. Furthermore, if a system

cooperating with a plurality of other systems, such as the accounting system 1002 of FIG. 10 is altered, then other systems are largely affected and it is also considered that coordination cannot be effected among systems.

5 In recent years, therefore, there has been proposed such a scheme that various information systems are connected to a system having functions of route control and message conversion and serving as a core and systems are made cooperative via the system serving as the core. Such a
10 scheme is called hub and spoke, and the core portion is called hub. The configuration of the hub and spoke is disclosed in U.S. Patent No. 5,193,056, Boes as well.

FIG. 11 shows a connection example in the hub and spoke. A teller system 1102, an Internet banking system
15 1103, a call center system 1104, an investment trust system 1105, a CRM (customer relationship management) system 1106, an accounting system 1107, and so on are connected to a hub 1101. The teller system 1102, the Internet banking system 1103, the investment trust system 1105, and the accounting
20 system 1107 are similar to the systems 1001 to 1004 described with reference to FIG. 10. The call center system 1104 is a system of a so-called call center in which, for example, a toll-free telephone call originated by a customer is received by an operator and the operator operates the
25 terminal to conduct various kinds of business in response to a request from the customer. The CRM system 1106 is a system for managing relations with customers. For example, commodities purchased by each customer in the past are

stored in a DB (data base), and an optimum commodity is proposed according to the purchase situations. In this way, the CRM system 1106 is a management system for building one-to-one proper relations with each customer.

5 By connecting the systems 1102 to 1107 to the hub 1101, they can cooperate with each other without being conscious of other systems. For example, a message used by the teller system 1102 to request another system to do business is first input to the hub 1101. The hub 1101
10 passes a judgment on the opposite party system to which the message should be sent. The hub 1101 converts the message into a message having a protocol and a message form conforming to the opposite party system, and sends a resultant message to the opposite party. Since a difference
15 between systems is thus absorbed by the hub 1101, cooperation becomes easy by connecting systems to the hub 1101. When constructing a new service, cooperation of systems can be easily implemented by defining a processing procedure for making the systems cooperative in the hub,
20 without conducting alterations on the systems (or with conducting slight alterations concerning the user interface on the systems).

For example, when an individual buys the investment trust commodity, typically there is needed such
25 an operation that the individual withdraws money from the individual's saving account (withdraws money in the accounting system) and deposits the money in the investment trust system (sends the money to the investment trust system

and buys the investment trust commodity). However, such processing extending over a plurality of systems can be defined in the hub easily. As a result, composite service through a teller shop and the Internet can be implemented.

- 5 Also when the configuration of the system and the pattern of the cooperation are to be altered, it can be coped with by altering the definition stored in the hub. Alteration of one system exerts little influence upon other systems.

- Whatever message may be input to the above
10 described conventional hub, the conventional hub determines a route, conducts protocol conversion and message conversion, and transfers a result to the opposite party system. This results in a problem that the duration of the message communication and the response time taken until an
15 answer message is obtained are prolonged.

SUMMARY OF THE INVENTION

- An object of the present invention is to shorten the duration of the message communication through the hub
20 and the response time taken until an answer message is obtained, in a "hub and spoke" system for implementing function cooperation among a plurality of information systems.

- In order to achieve this object, a cooperative
25 system includes a plurality of information systems and a hub system connected to the plurality of systems. The hub system receives a message from a first information system, determines necessity of message conversion and a kind of

conversion, converts the message to a form suitable for a second information system which is destination, only when it has been determined that message conversion is necessary, and transmits the message to a second information system.

- 5 The hub system may determine whether flow control determining a flow and destination of a message received from the first information system based on a class of the message should be conducted, and conduct flow control only when it has been determined that flow control should be
10 conducted.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic diagram of a "hub and spoke" system of an embodiment of the present invention;

- 15 FIG. 2 is a schematic diagram of an execution environment of the "hub and spoke" system;

FIG. 3 is a diagram showing a system configuration example of a hub;

- FIG. 4 is a diagram showing an internal
20 configuration of an adapter;

FIGS. 5A, 5B and 5C are diagrams showing three paths;

FIG. 6 is a processing flow diagram of a client side adapter;

- 25 FIG. 7 is a processing flow diagram of a server side adapter which receives a message via a specific protocol direct connection path;

FIG. 8 is a processing flow diagram of a server

side adapter which receives a message via an adapter direct connection path or a normal path;

FIG. 9 is a diagram showing an example of a message given and received in the present embodiment;

5 FIG. 10 is a diagram showing an example of conventional cooperation among information systems;

FIG. 11 is a diagram showing a connection example in a conventional "hub and spoke";

FIG. 12 is a processing flow diagram for
10 determining a path on the basis of a business code and a protocol;

FIG. 13 is a processing flow diagram for determining a path on the basis of an amount of money of deposit;

15 FIG. 14 is a diagram showing an example of association of connection systems with paths to be used;

FIG. 15 is a processing flow diagram in the case where a path is determined on the basis of a connected system;

20 FIG. 16 is a diagram showing an example of association of user classes with paths to be used; and

FIG. 17 is a processing flow diagram in the case where a path is determined on the basis of a user class.

25 DESCRIPTION OF THE EMBODIMENTS

(1) System Summary

Hereafter, an embodiment of the present invention will be described by referring to drawing.

FIG. 1 schematically shows a "hub and spoke" system. As information systems of client side, a teller system 111, an Internet banking system 112, and a call center system 113 are connected to a hub 100. As
5 information systems of server side, an accounting system 121, a CRM system 122, and an investment trust system 123 are connected to the hub 100. These systems 111 to 113 and 121 to 123 are information systems for performing various kinds of business similar to those described in "BACKGROUND
10 OF THE INVENTION." Each of the systems is connected to the hub via a network such as a WAN or the Internet. The hub 100 includes one or more computers. The function of the hub is implemented by software executed by the computer(s).

The hub 100 includes client side adapters 101, a
15 service finder 102, a flow controller (or flow controllers) 103, and server side adapters 104. These components basically exchange messages with each other via a communication controller 105 which is formed according to CORBA (Common Object Request Broker Architecture)
20 specifications, which provides a distributed object environment. The CORBA is the name of a distributed processing environment architecture advocated by Object Management Group. As a protocol according to the CORBA specifications used in the hub, IIOP (Internet inter-ORB
25 protocol) is well known.

The client side adapters 101 are provided so as to be associated with respective client side systems. Each of the client side adapters 101 has functions of channel I/F

(interface) control between the client side adapter and the client side system, protocol conversion between a protocol of the client side system and a protocol of CORBA specifications in the hub, and message conversion between a message form of the client side system and a message form of a server side system which is the destination of the message.

The adapter 101 may be provided for each protocol. Otherwise, one adapter may be associated with all systems.

10 The server side adapters 104 may be provided so as to be associated with respective server side systems. Each of the server side adapters 104 has functions of server I/F control between the server side adapter and the server side system, connection to a legacy system utilizing a wrapper, 15 protocol conversion between a protocol of the server side system and a protocol of CORBA specifications in the hub, and message conversion between a message form in the hub and a message form of the server side system.

The adapter 104 may be provided for each protocol. 20 Otherwise one adapter may be associated with all systems.

The service finder 102 manages message routing information. For example, when acquiring the destination of a message received by the client side adapter 101 or the flow controller 103, the service finder 102 is inquired of 25 about the destination. The flow controller 103 provides composite service utilizing work management. In other words, when conducting cooperative processing in a plurality of servers in response to a message received by a client

side adapter 101, the flow controller 103 controls the flow of messages, i.e., controls which server side adapter 104 the message should be transmitted to in which order. Such control may be accomplished by a plurality of flow
5 controllers, each controller controlling a flow for one cooperative service.

(2) Route of Message

FIG. 2 schematically shows an execution
10 environment of the "hub and spoke" system. Out of the system summary of FIG. 1, FIG. 2 shows the software configuration of the hub 100 and data flow in more detail. In FIG. 2, the teller system 111 and a teller terminal 204 are connected to the hub 100 as client side systems. As
15 server side systems, the accounting system 121 and the investment trust system 123 are connected to the hub 100. The teller terminal 204 is a so-called window terminal. The teller terminal 204 is a terminal for an operator to input various kinds of information at a teller window or a call
20 center in response to a customer's request. Hubs 201 and 202 having different footholds are connected to the hub 100. A manager 210 conducts operation management, system configuration management, and log acquisition specification control on various components in the hub 100.

25 A message transmitted from the client side system 111 or 204 is transmitted to the server side system 121 or 123 via the hub 100. As message routes (paths), three paths are prepared in the hub 100. The three paths are a normal

path, an adapter direct connection path, and a specific protocol direct connection path.

The path to be used is determined by each adapter on the basis of the received message. A method for
5 determining the path will be described later.

(2-A) Normal Path

The normal path will now be described. An adapter 101b is an adapter associated with the teller terminal 204.
10 A message transmitted from the teller terminal 204 is received by the adapter 101b. The adapter 101b converts the protocol of the message to a protocol in the hub by using a protocol conversion function 231. The adapter 101b then
15 inquires of the service finder 102 the destination of the message by utilizing a destination acquisition (destination inquiry) function 232. The service finder 102 manages configuration information of adapters 101a, 101b, 104a, and 104b, and the flow controller 103, and management
information of a message destination system. In the case of
20 the normal path, the service finder 102 orders transmission of the message to the flow controller 103. Upon receiving the message, the adapter 101b conducts message conversion by using a message conversion function 233 as occasion demands, and transmits the message to the flow controller 103 by
25 using a intra-hub message transmission and reception function 234. In the case where the adapter 101b conducts message conversion, the adapter 101b utilizes a message conversion engine 241 and a code conversion engine 242 of a

common service 240. As for processing conducted in the hub 100, the processing history is recorded as a log by using a log acquisition function 243.

Methods of the message conversion and the protocol
5 conversion are described in US 5,187,787, Skeen et al., US 5,257,369, Skeen et al., and US 5,557,798, Skeen et al.

According to the received message, the flow
controller 103 accesses some server side systems one after
another in accordance with a determined flow, and conducts
10 processing according to the message. The flow controller
103 determines a server side system to be accessed by
inquiring of the service finder 102 and utilizing its
management information. The flow controller 103 first
accesses the accounting system 121 and conducts
15 predetermined processing (261), and then accesses the
investment trust system 123 and conducts predetermined
processing (262). In the access (261) to the accounting
system 121, the flow controller 103 sends a predetermined
message to the adapter 104a associated with the accounting
20 system 121, and obtains an answer message therefor.
Thereafter, in the access (262) to the investment trust
system 123, the flow controller 103 sends a predetermined
message to the adapter 104b associated with the investment
trust system 123, and obtains an answer message therefor.
25 The flow controller 103 processes the obtained answer
message as occasion demands, and returns a resultant message
to the original adapter 101b. The adapter 101b conducts
necessary processing such as protocol conversion and message

conversion, and returns an answer message to the associated teller terminal 204.

The message transmitted from the flow controller 103 by the access processing (261) to the accounting system 121 is received by an intra-hub message transmission and reception function 274 of the adapter 104a associated with the accounting system 121. The adapter 104a converts the protocol of the message from the protocol in the hub to a protocol of the accounting system 205. As occasion demands, the adapter 104a then conducts message conversion by using a message conversion function 273. The adapter 104a then transmits the message to the accounting system 121. According to the received message, the accounting system 121 conducts predetermined business processing. The accounting system 121 then generates an answer message, and returns it to the adapter 104a. The adapter 104a receives the answer message, converts the protocol of the answer message to the protocol in the hub by using the protocol conversion function 271, and inquires of the service finder 102 the destination of the answer message by using a destination acquisition function 272. (The adapter 104a may store the message source information in the memory when receiving the message, and use the information as the answer message destination.) Here, the destination is the flow controller 103. As occasion demands, the adapter 104a conducts message conversion by using the message conversion function 273. By using the message transmission and reception function 274, the adapter 104a sends the answer message to the flow

controller 103 which is the request issuing source.

A message transmitted by the processing of
accessing the investment trust system 123 (262) is received
by the adapter 104b associated with the investment trust
5 system 123. Processing conducted in the adapter 104 is
similar to that conducted in the adapter 104a, and
consequently its description will be omitted.

As heretofore described, in the normal path, a
message issued by a client side system is subjected in a
10 client side adapter to required conversion such as protocol
conversion, and delivered to the flow controller. In a
predetermined flow, the flow controller accesses a server
side system via a server side adapter, and advances business
processing. Between the client side and server side
15 adapters and the flow controller, message transmission and
reception are conducted by using the protocol in the hub
(according to the CORBA specifications).

The flow of control from the client to the server
heretofore described is shown in FIG. 5A.

20

(2-B) Adapter Direct Connection Path

The adapter direct connection path will now be
described by referring to FIG. 2. In the above described
normal path, the flow controller can conduct processing with
25 a plurality of servers made cooperative. In the case where
the flow control is not required, however, a message can be
sent from a client side adapter directly to a server side
adapter by using the adapter direct connection path, without

intervention of the flow controller. By taking the case where a message is to be sent from the teller system 111 to the accounting system 121 as an example, the adapter direct connection path will be described hereafter. It is now
5 assumed that a protocol used in the teller system 111 is different from a protocol used in the accounting system 121.

The adapter 101a is an adapter associated with the teller system 111. A message transmitted from the teller system 111 is received by the adapter 101a. The adapter
10 101a converts a protocol of the message to the protocol in the hub by using a protocol conversion function 221, and inquires of the service finder 102 the destination of the message by using a destination acquisition (destination inquiry) function 222. In the case of the adapter direct
15 connection path, the service finder 102 returns indication of an adapter of a server side system to which the message should be directly sent, as the destination of the message. Here, the adapter 104a of the accounting system 121 is indicated as the destination. Upon receiving this, the
20 adapter 101a conducts message conversion by using a message conversion function 223 as occasion demands, and sends the message to the adapter 104a associated with the accounting system 121 by using an intra-hub message transmission and reception function 224. Processing conducted in the adapter
25 104a is the same as that described with reference to the normal path. However, an answer message is returned from the adapter 104a directly to the adapter 101a. Arrows 291 and 292 of FIG. 2 show message flows on the adapter direct

connection path.

As heretofore described, on the adapter direct connection path, a message issued by a client side adapter is transmitted directly to a server side adapter, and the message is not passed through the flow controller 103. As compared with the normal path, therefore, the communication speed is fast and the response is also fast. On the above described adapter direct connection path, the client side adapter 101a converts the protocol of the client side system 111 to the protocol in the hub (according to the CORBA specifications), and the server side 104a converts the protocol in the hub to the protocol of the server side system 121. Instead of conducting such protocol conversion, the client side adapter 104a may convert the protocol of the client side system 111 to the protocol of the server side system 121, and send the message directly without intervention of the protocol in the hub. Since intervention of the protocol in the hub is thus obviated, communication can be conducted faster by that amount.

The flow of control from the client to the server heretofore described is shown in FIG. 5B.

(2-C) Specific Protocol Direct Connection Path

The specific protocol direct connection path will now be described by referring to FIG. 2. On the above described adapter direct connection path, protocol conversion is indispensable because the protocol of the client side is different from that of the server side. In

the case where the protocol of the client side is the same as the protocol of the server side, however, a message can be sent from a client side adapter directly to a server side adapter via the specific protocol direct connection path, without conducting protocol conversion. Hereafter, by taking the case where a message is sent from the teller system 111 to the accounting system 121 as an example, the specific protocol direct connection path will be described. It is now assumed that a protocol used in the teller system 111 is the same as a protocol used in the accounting system 121.

A message transmitted from the teller system 111 is received by the adapter 101a. The adapter 101a transmits the message directly to the adapter 104a. The adapter 104a receives the message. The adapter 104a transmits the received message to accounting system 121. An answer message is also returned via the specific protocol direct connection path in the same way.

As heretofore described, on the specific protocol direct connection path, a message issued by a client side adapter is transmitted directly to a server side adapter, and protocol conversion is not required. In other words, communication is not conducted by using intra-hub message transmission and reception functions 224 and 274 for exchanging messages according to the CORBA, but communication is conducted directly with the protocol used in the teller system 111 and the accounting system 121. As compared with the adapter direct connection path, therefore,

the communication speed is further faster and the response is also fast. By the way, message conversion may be conducted in either a client side adapter 101a or 101b, or a server side adapter 104a or 104b, as occasion demands.

5 The flow of control from the client to the server heretofore described is shown in FIG. 5C.

(3) Determination of Path

 In (2), three paths have been described. A method
10 for determining the path will now be described.

 FIG. 4 shows an example of adapter software. The client side adapters have the same configuration as the server side adapters. Each adapter is a function (program) mounted on an arbitrary computer included in the hub. Each
15 adapter includes a program 404 and a table 407.

 The program 404 is divided into a protocol dependence section 405 and a protocol non-dependence section 406. The protocol dependence section 405 includes a message acceptance section 451, a path decision section 452, and a
20 protocol conversion section 453. The protocol non-dependence section 406 includes a destination inquiry (destination acquisition) section 461, a message conversion section 462, and an intra-hub message transmission and reception section 463.

25 The message acceptance section 451 of the protocol dependence section 405 conducts acceptance processing of a message issued by the protocol of a client side or server side system associated with this adapter. According to the

accepted message, the path decision section 452 determines which of the normal path, the adapter direct connection path, and the specific protocol direct connection path should be utilized, on the basis of a path decision rule 471. The protocol conversion section 453 (corresponding to 221, 231, 271, and 281 of FIG. 2) conducts conversion between the protocol of the client side or server side system and the protocol of the CORBA specifications in the hub. The above described sections 451 to 453 are sections which need processing depending on the protocol of a client side or server side system associated with this adapter.

The destination inquiry section 461 (corresponding to 222, 232, 272, and 282 of FIG. 2) of the protocol non-dependence section 406 conducts processing of inquiring of the service finder the destination of a message. On the basis of a message conversion rule 472, the message conversion section 462 conducts conversion of the message form between a message form of a client side system and a message form of a client side system. Since the message conversion can be conducted in an arbitrary position between the client and the server, it is sufficient that the message conversion section 462 is provided in either the client side adapters or the server side adapters. In some cases, the term "protocol" refers to not only the communication procedure in a physical layer but also conversion of the message form between systems, as a whole. However, it is now assumed that the term "protocol" does not include conversion of the message form. The intra-hub message

transmission and reception section 463 conducts message
transmission and reception according to the protocol of the
CORBA specifications in the hub. The sections 461 to 463
heretofore described are sections for conducting processing
5 which does not depend upon the protocol of the client side
or server side system associated with the adapter. The
sections 461 to 463 are sections which operate on the CORBA.

The sections heretofore described correspond to
the functions of FIGS. 5A to 5C.

10 FIG. 9 shows an example of a message given and
received in the present embodiment. The message includes
control information 901, a business code 902, and business
specific information 903. The control information 901 is
control information representing the source and destination
15 of the message, message class, or data length and form. The
business code 902 is code information representing what kind
of business the message requests. The business specific
information 903 is information specific to the requested
business.

20 The control information 901 may include a path
decision result conducted by the adapter and a flag
indicating whether message conversion has already been
conducted. (These kinds of information may be transmitted
between programs in the hub by an internal protocol of the
25 hub.)

FIG. 6 shows the processing flow of a client side
adapter. Upon accepting a message from a client side system
at step 601, the client side adapter acquires the class of

the message at step 602. At step 603, the client side adapter conducts a path decision and may write a result into a control information field of the message. At this time, the client side adapter may refer to the path decision rule 5 471 of FIG. 4. Subsequently, at step 604, the client side adapter determines whether the message is a message for specific protocol direct connection path. When the message is not a message for specific protocol direct connection path, the client side adapter converts its protocol to the 10 protocol of the CORBA specifications which is being used in the hub at step 605, and inquires of the service finder the destination at step 606. Upon acquiring the destination from the service finder, the client side adapter transmits the message to its destination, i.e., to the flow controller 15 or server side adapter at step 607, and finishes the processing. No matter whether the destination is a server side adapter of the adapter direct connection path or the flow controller of the normal path, processing except the destination remains unchanged in the client side adapter.

20 The client side adapter conducts only processing of transmitting the message to the destination acquired from the service finder. When it is determined that the message is a message for the specific protocol direct connection path at the step 604, the client side adapter transmits the 25 message via the specific protocol direct connection path at step 608 and finishes the processing.

The path decision will now be described in detail by citing a plurality of examples.

In the processing flow of the client side adapter of FIG. 6, the path decision of the step 603 is conducted on the basis of the control information 901 and the business code 902 of FIG. 9. FIG. 12 shows an example of a processing flow of the path decision. At step 1201, the control information 901 and the business code 902 are read out from the message. At step 1202, it is determined whether the business code 902 is "investment trust application." If so, the normal path is selected as a decision result at step 1208. Otherwise, it is determined at step 1203 whether the business code 902 is "saving account deposit" or "saving account withdrawal." If neither of them is the case, then it is judged at step 1207 that the associated path has not been found. If the business code 902 is either "saving account deposit" or "saving account withdrawal," then it is determined at step 1204 whether the source and the destination have the same protocol. In the case of the same protocol, the specific protocol direct connection path is selected at step 1205. If the source and the destination do not have the same protocol, the adapter direct connection path is selected at step 1206.

Relations between business codes and paths may be defined in a table form as the path decision rule 471. Further, information representing protocols used by respective systems (source and destination) is also stored in the path decision rule.

As a variation of FIG. 12, an example in which a path is determined according to the source and content of a

message will now be described. In other words, the specific protocol direct connection path or the adapter direct connection path is provided as a specific path for special cases. All other cases are processed with the normal path.

- 5 Although it depends on a message and a system configuration handled by the system, there is a possibility that the load converges on a part of the system in the case of the specific protocol direct connection path and the adapter direct connection path. Therefore, the use of the specific
- 10 protocol direct connection path and the adapter direct connection path can be limited to the special cases.

For example, when the business code is "saving account deposit," the amount of money of deposit is set as business specific information. Therefore, it is possible to

15 conduct a path decision depending on its amount of money. For example, the specific protocol direct connection path is used when the amount of money is at least a predetermined value, whereas the normal path is used when the amount of money is less than the predetermined value.

- 20 FIG. 13 shows a path decision flow depending on the amount of deposit money of a saving account. At step 1301, the control information 901 and the business code 902 are read out from the message. At step 1302, it is determined whether the business code 902 is "investment
- 25 trust application". If so, the normal path is selected as a decision result at step 1312. Otherwise, it is determined at step 1303 whether the business code 902 is "saving account deposit". If so, then an amount of deposit money in

the message is read out at step 1304, and the amount of money is compared with a predetermined value at step 1305. If the amount of money is less than the predetermined value, then the normal path is selected at step 1306. If the
5 amount of money is at least the predetermined value, then the processing proceeds to step 1308. If the business code is not "saving account deposit" at step 1303, then it is determined at step 1307 whether the business code is "saving account withdrawal". If the business code is not "saving
10 account withdrawal", then it is judged at step 1311 that the associated path has not been found. If the business code 902 is "saving account withdrawal", then it is determined at step 1308 whether the source and the destination have the same protocol. In the case of the same protocol, the
15 specific protocol direct connection path is selected at step 1309. If the source and the destination do not have the same protocol, the adapter direct connection path is selected at step 1310.

At the step 1305, the following additional service
20 may be provided. If the amount of money is less than a predetermined value at the step 1305, then on the contrary the specific protocol direct connection is used to conduct a simple service. If the amount of money is at least the predetermined value, then the normal path is used to put an
25 advertisement on the client side and/or access such a system as to activate a customer analysis system.

Furthermore, it is also possible to change the path to be used, according to the kind of a channel which

accesses an adapter. This method is effective to the case where it is desirable to conduct especially processing from a specific channel at high speed, such as a teller terminal. For example, it is possible to pass only processing from a specific channel through the specific protocol direct connection path, and pass the same request from other channels through the normal path. By doing so, the load of the specific protocol direct connection path can be lowered. As a result, processing on the specific protocol direct connection path can be executed at higher speed.

FIG. 14 shows an example of a table indicating paths of respective channels. A field of a system 1401 connected by the adapter indicates a channel which accesses the adapter. A field of a path 1402 indicates the path used to process a request from a channel indicated by the field 1401. For example, it is indicated that a request from an adapter for automated teller machine is processed via the specific protocol direct connection path. This table is stored as a part of the path decision rule.

FIG. 15 shows the processing flow in the case where the path to be used is changed according to the channel. At step 1501, the adapter reads out a path associated with a requested channel from the table shown in FIG. 14. For example, upon receiving a request from an automated teller machine, the adapter acquires a record corresponding to the automated teller machine from the field 1401 of the table of FIG. 14, and reads out a value of the path field 1402. At step 1502, it is determined whether the

value of the path 1402 indicates the specific protocol
direct connection path. If so, the specific protocol direct
connection path is selected at step 1508. If the path is
not the specific protocol direct connection path, then it is
5 determined at step 1503 whether the path is the adapter
direct connection path. If so, the adapter direct
connection path is selected at step 1507. If the path is
not the adapter direct connection path, it is determined at
step 1504 whether the path is the normal path. If so, the
10 normal path is selected at step 1506. Otherwise, it is
judged at step 1505 that the associated path has not been
found.

Furthermore, by using user information in the path
decision rule, processing from a specific customer can be
15 processed at high speed. For example, only requests from
excellent customers can be processed by using the specific
protocol direct connection path. FIG. 16 shows an example
of a table indicating association of user information with
paths. A field of user information 1601 indicates user
20 classes. For example, the user information field 1601
indicates a class such as an own bank user, another bank
user, or a large income earner. The path field 1602
indicates a path to be used for each user class. For
example, the own bank user can be set so as to use the
25 specific protocol direct connection path. FIG. 17 shows a
processing flow in the case where the path to be used is
changed according to the user information. At step 1701,
user information is read out from a message, and the user

class is judged. At step 1702, a path associated with the user class is read out from the table of FIG. 16. For example, if the user is an own bank user, then a record corresponding to the own bank user is acquired from the user information field 1601, and a value of the path field 1602 is read out. At step 1703, it is determined whether the value of the path field 1602 indicates the specific protocol direct connection path. If so, the specific protocol direct connection path is selected at step 1709. If the value of the path field 1602 does not indicate the specific protocol direct connection path, then it is determined at step 1704 whether the path is the adapter direct connection path. If so, the adapter direct connection path is selected at step 1708. If the path is not the adapter direct connection path, it is determined at step 1705 whether the path is the normal path. If so, the normal path is selected at step 1707. Otherwise, it is judged at step 1706 that the associated path has not been found.

The rule of such a path decision is set in the path decision rule 471 of FIG. 4 beforehand.

Processing of a server side adapter will now be described. By, for example, checking the control information 901 of a received message, the adapter can know the path via which the message has been transmitted.

FIG. 7 shows a processing flow of a server side adapter which receives a message via the specific protocol direct connection path. At step 701, a message is received. Namely, the message is received by the dependence section

(405 of FIG. 4) which conducts processing depending upon a specific protocol which is a protocol specific to a client or a server. At step 702, the dependence section transmits the message to a server side system by using the specific
5 protocol. If an answer message representing a result of business processing is returned from the server side system, the answer message is received at step 703. At step 704, the dependence section returns the answer message to a client side adapter of the calling source. The processing
10 is thus finished. FIG. 7 shows the case where message conversion is not conducted. When message conversion is required, however, the message conversion may be conducted in the processing of FIG. 7.

FIG. 8 shows a processing flow of a server side
15 adapter which receives a message via the adapter direct connection path or the normal path. At step 801, a message is received. This is processing of receiving a message by using the protocol of the CORBA specifications which is being used in the hub. This is reception of a message
20 conducted by the intra-hub message transmission and reception section 463 of the non-dependence section 406 shown in FIG. 4. Subsequently, at step 802, it is determined on the basis of the message class and the flag in the message whether message conversion is necessary. If
25 necessary, the message conversion is conducted at step 803. Subsequently, at step 804, the message is delivered from the non-dependence section to the dependence section (405 of FIG. 4). At step 805, the dependence section converts the

protocol to a protocol specific to the server, and transmits the message to the server side system. If an answer message representing a result of business processing is returned from the server side system, the answer message is received at step 806. At step 807, the dependence section converts the answer message to a message having the protocol of the CORBA specifications in the hub. At step 808, the non-dependence section returns the answer message to a client side adapter of the calling source. The processing is thus finished. As for the answer message as well, the message conversion may be conducted as occasion demands.

(3) Form of System

FIG. 3 shows a system configuration example of the hub in the present embodiment described with reference to FIGS. 1 and 2. Here, the hub is formed of three computers (hub servers) 301 to 303. Furthermore, servers and clients share the computers. (A server or client has a part of the hub function.)

On the hub servers 301 to 303, OSs (operating systems) 311, 321 and 331 and CORBAs 312, 322 and 332 are mounted. In the hub server 301, there are operating a client program 313, a client side adapter program 314, a server side adapter program 315, and a server program 316. In the hub server 302, there are operating a finder program 323, a flow control program 324, a server side adapter program 325, and a server program 326. In the hub server 303, there are operating a common service program 333, a

client side adapter program 334, a client program 335, and a server program 336.

The client programs 313 and 335 are programs for implementing the client side systems described with reference to FIGS. 1 and 2. The client side adapter programs 314 and 334 are programs for implementing the client side adapters described with reference to FIGS. 1 and 2. The server side adapter programs 315 and 325 are programs for implementing the server side adapters described with reference to FIGS. 1 and 2. The server programs 316, 326, and 336 are programs for implementing the server side systems described with reference to FIGS. 1 and 2. The finder program 323 is a program for implementing the finder described with reference to FIGS. 1 and 2. The flow control program 324 is a program for implementing the flow controller described with reference to FIGS. 1 and 2. The common service program 333 is a program for implementing the common service described with reference to FIG. 2.

These programs operate on an appropriate number of computers connected by a LAN (local area network). In the case where the hub is formed of a plurality of computers, arbitrary programs can be made to operate on each computer. Furthermore, each program can be distributed in function to a plurality of computers on CORBA. The client programs 313 and 335 and the server programs 316, 326, and 336 are programs for conducting individual business processing, and they are not included in the hub. However, the clients and servers may be mounted on computers forming the hub.

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WHAT IS CLAIMED IS:

1. A cooperative system comprising:
a plurality of information systems; and
a hub system connected to said plurality of systems,
said hub system comprising:
conversion means for converting a message received from a first information system to a form suitable for a second information system, said second information system being destination of said message; and
decision means for determining necessity of message conversion and a kind of conversion.
2. A hub system connected to a plurality of information systems, said hub system comprising:
conversion means for converting a message received from a first information system to a form suitable for a second information system, said second information system being destination of said message; and
decision means for determining necessity of message conversion and a kind of conversion.
3. A hub system according to claim 2, further comprising:
flow control means for determining a flow and destination of a message received from said first information system based on a class of said message,
wherein said decision means further determines whether said flow control means should be used.
4. A hub system according to claim 2,

wherein said conversion means comprises:

protocol conversion means for conducting protocol conversion; and

message conversion means for conducting message form conversion, and

wherein said decision means checks protocols used in the first information system and the second information system, and if the protocols are the same, said decision means judges protocol conversion to be unnecessary.

5. A hub system according to claim 4, wherein said protocol conversion means conducts conversion from a protocol used in said first information system to an internal protocol in said hub, and conducts conversion from said internal protocol to a protocol used in said second information system.

6. A hub system according to claim 2, wherein said decision means determines processing to be conducted on the received message in accordance with decision rule.

7. A hub system according to claim 6, wherein said decision rule associates a business class included in the received message with a message processing content.

8. A hub system according to claim 6, wherein said decision rule associates an amount of money included in the received message with a message processing content.

9. A hub system according to claim 6, wherein said decision rule associates user information included in the received message with a message processing content.

10. A hub system according to claim 6, wherein said

decision rule associates a message originating system with a message processing content.

11. A method for making a plurality of information systems cooperate, said method comprising the steps of:

connecting a hub system to said plurality of systems;

receiving, in said hub system, a message from a first information system;

determining, in said hub system, necessity of message conversion and a kind of conversion;

if necessary, converting, in said hub system, said message to a form suitable for a second information system, said second information system being destination of said message; and

transmitting said message from said hub system to a second information system.

12. A method according to claim 11, further comprising the steps of:

determining, in said hub system, whether flow control should be conducted, said flow control determining a flow and destination of a message received from said first information system, based on a class of said message; and

conducting, in said hub system, flow control when it has been determined that flow control should be conducted.

13. A hub program executed by a computer to make a plurality of information systems cooperate, said hub program executing the steps of:

transmitting said message to a second information system.

A cooperative system includes a plurality of information systems and a hub system connected to the plurality of systems. The hub system receives a message from a first information system, determines necessity of message conversion and a kind of conversion, converts the message to a form suitable for a second information system which is destination, only when message conversion is necessary, and transmits the message to a second information system. The hub system may determine whether flow control determining a flow and destination of a message received from the first information system based on a class of the message should be conducted, and conduct flow control only when it has been determined that flow control should be conducted.

FIG. 4

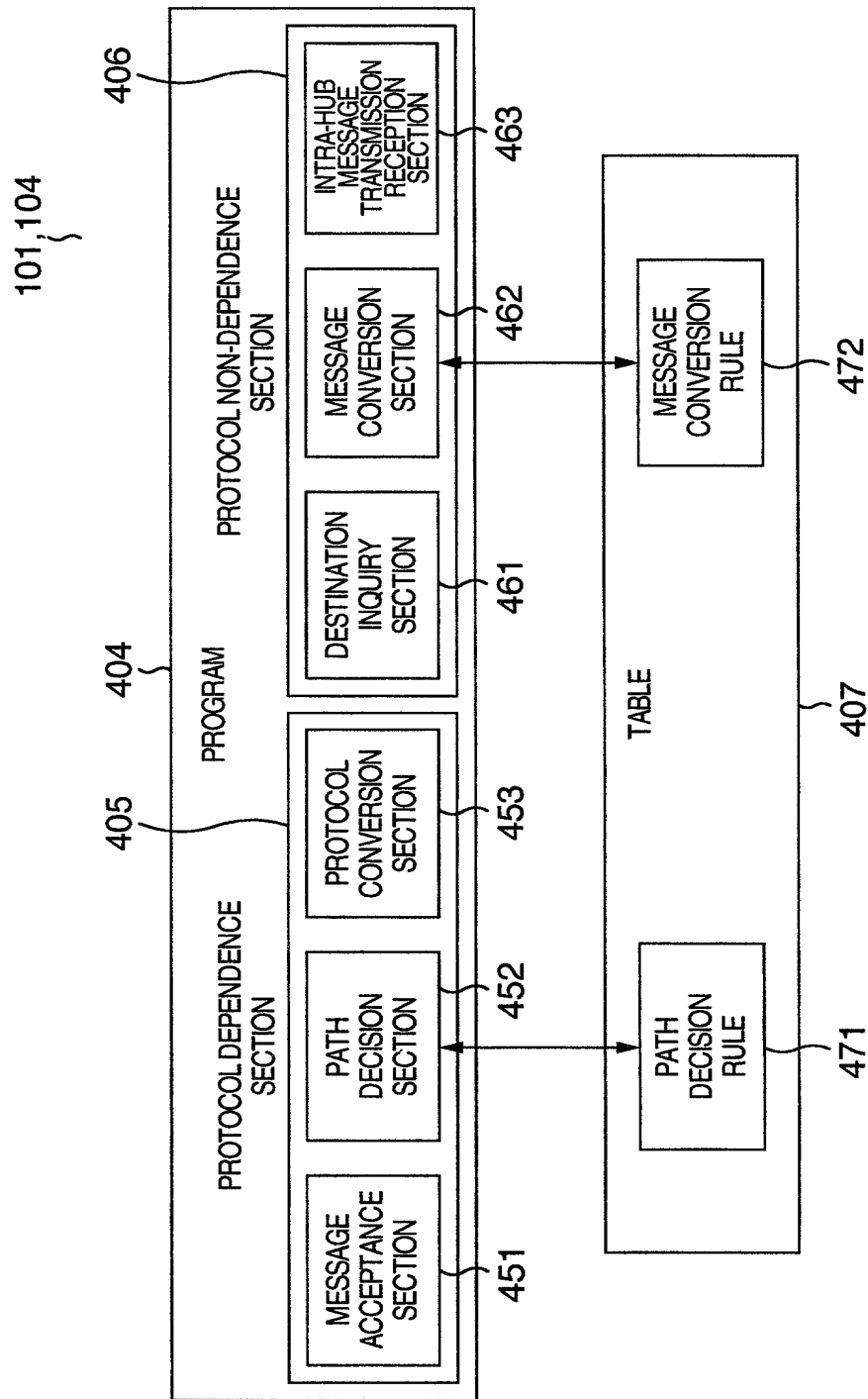


FIG. 6

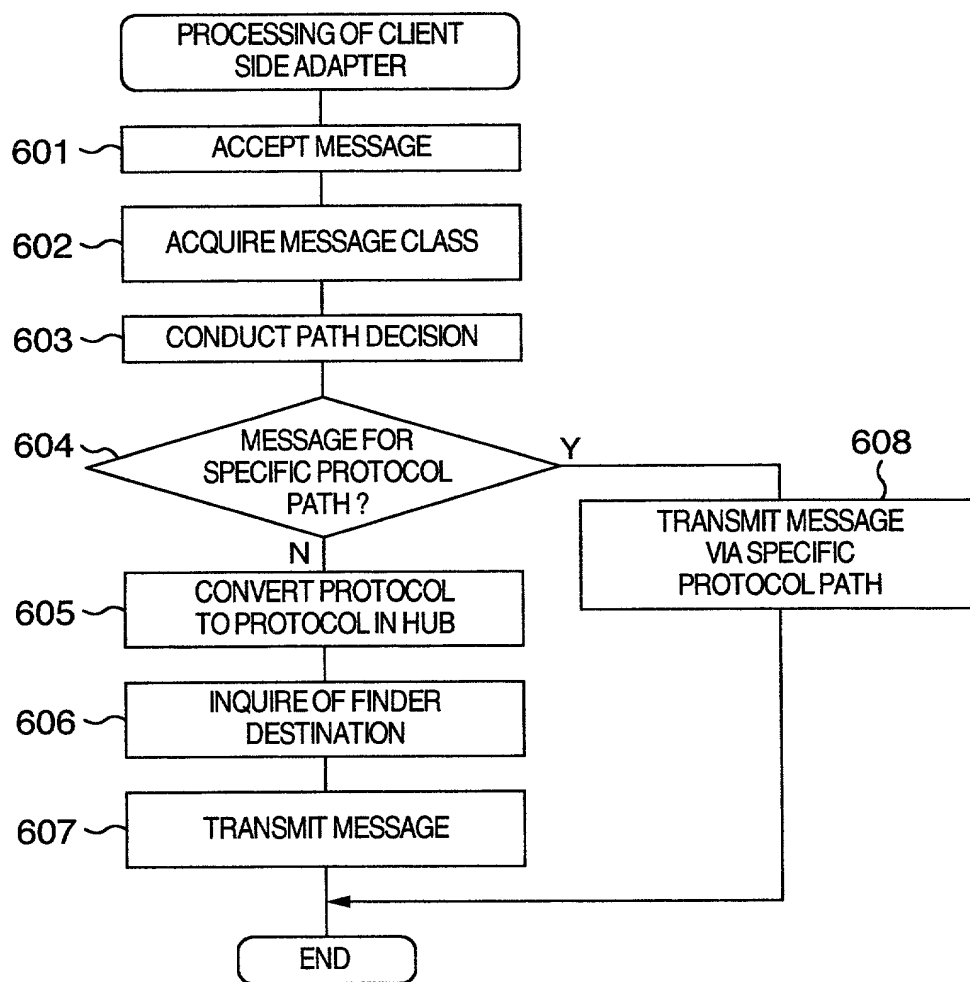


FIG. 7

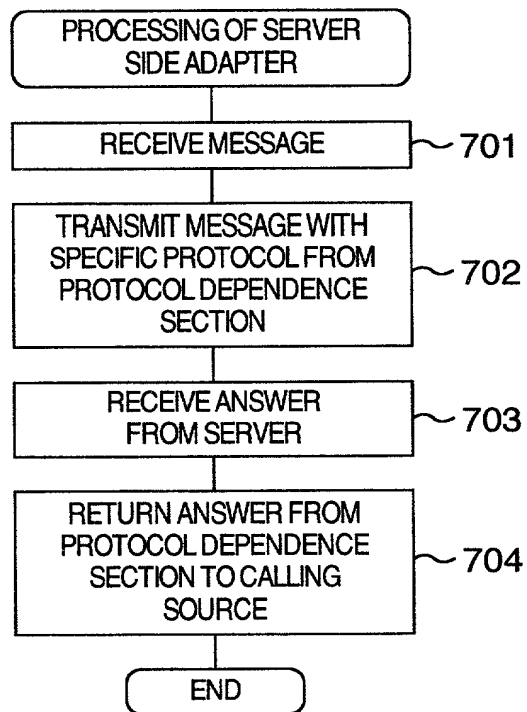
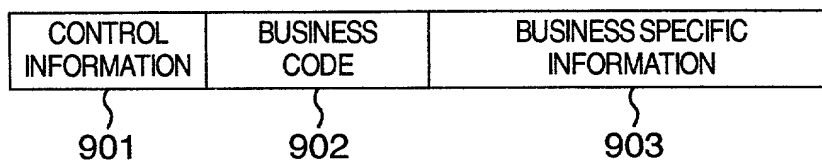


FIG. 9





[illegible]

| Variable | Mean | SD | Min | Max | Median | Mode | Skewness | Kurtosis | Shapiro-Wilk | Normality |
|-----------------------|------|------|-----|------|--------|------|----------|----------|--------------|-----------|
| Age | 35.5 | 10.5 | 20 | 65 | 35 | 35 | 0.1 | 3.0 | 0.95 | Normal |
| Gender | 1.5 | 0.5 | 1 | 2 | 1 | 1 | 0.0 | 0.0 | 0.99 | Normal |
| Marital Status | 2.5 | 1.0 | 1 | 4 | 2 | 2 | 0.2 | 1.5 | 0.90 | Normal |
| Education | 12.5 | 2.0 | 9 | 16 | 12 | 12 | 0.1 | 3.0 | 0.95 | Normal |
| Income | 1500 | 500 | 500 | 3000 | 1200 | 1000 | 0.3 | 2.5 | 0.85 | Normal |
| Occupation | 1.5 | 0.5 | 1 | 2 | 1 | 1 | 0.0 | 0.0 | 0.99 | Normal |
| Health Status | 2.5 | 1.0 | 1 | 4 | 2 | 2 | 0.2 | 1.5 | 0.90 | Normal |
| Stress Level | 3.5 | 1.5 | 1 | 5 | 3 | 3 | 0.1 | 3.0 | 0.95 | Normal |
| Life Satisfaction | 4.0 | 1.0 | 1 | 5 | 4 | 4 | 0.0 | 0.0 | 0.99 | Normal |
| Work-Life Balance | 3.0 | 1.0 | 1 | 5 | 3 | 3 | 0.1 | 3.0 | 0.95 | Normal |
| Family Support | 4.5 | 1.0 | 1 | 5 | 4 | 4 | 0.0 | 0.0 | 0.99 | Normal |
| Community Involvement | 2.0 | 1.0 | 1 | 4 | 2 | 2 | 0.2 | 1.5 | 0.90 | Normal |
| Personal Growth | 3.0 | 1.0 | 1 | 5 | 3 | 3 | 0.1 | 3.0 | 0.95 | Normal |
| Overall Well-being | 3.5 | 1.0 | 1 | 5 | 3 | 3 | 0.1 | 3.0 | 0.95 | Normal |



FIG. 12

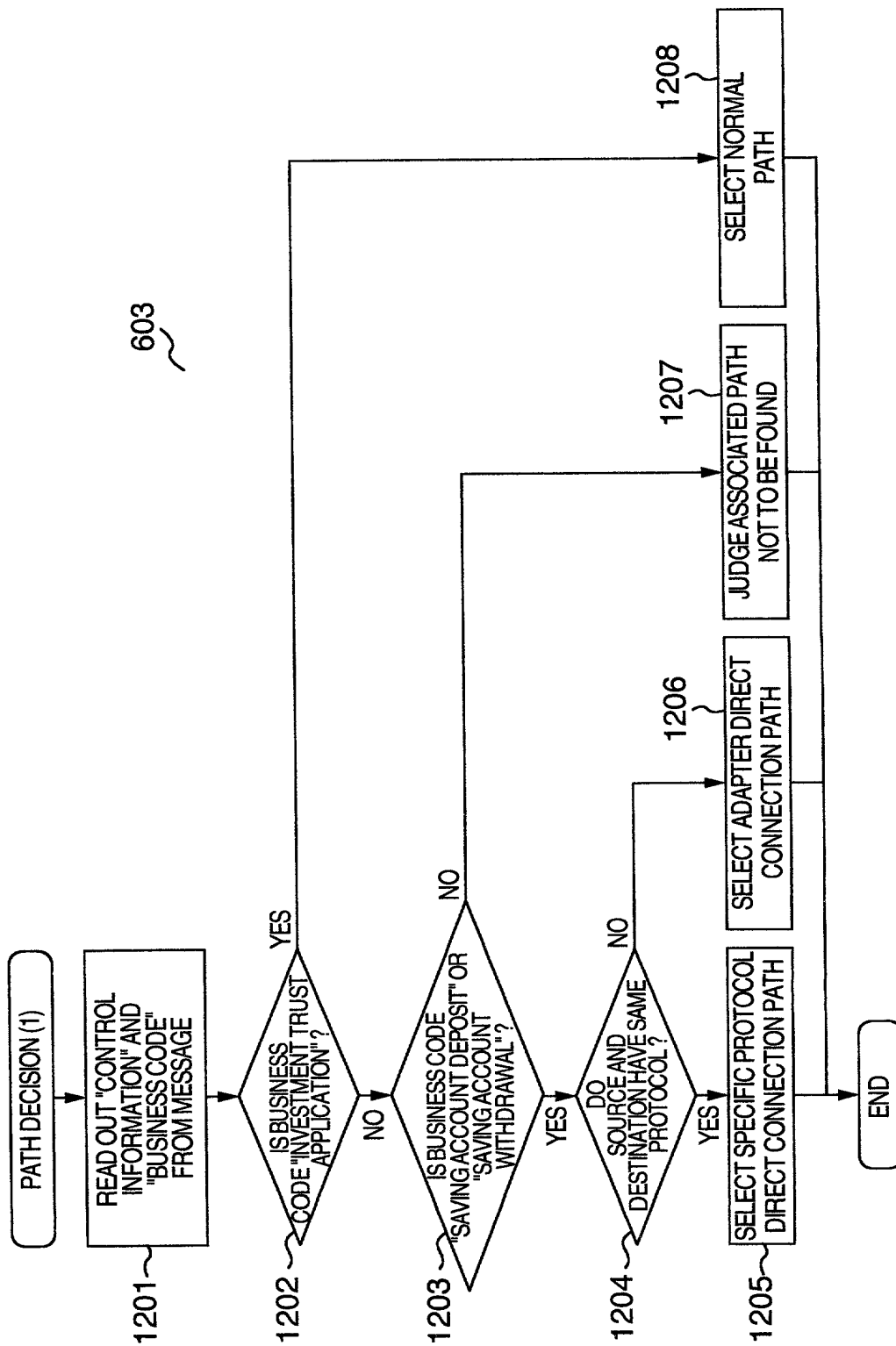
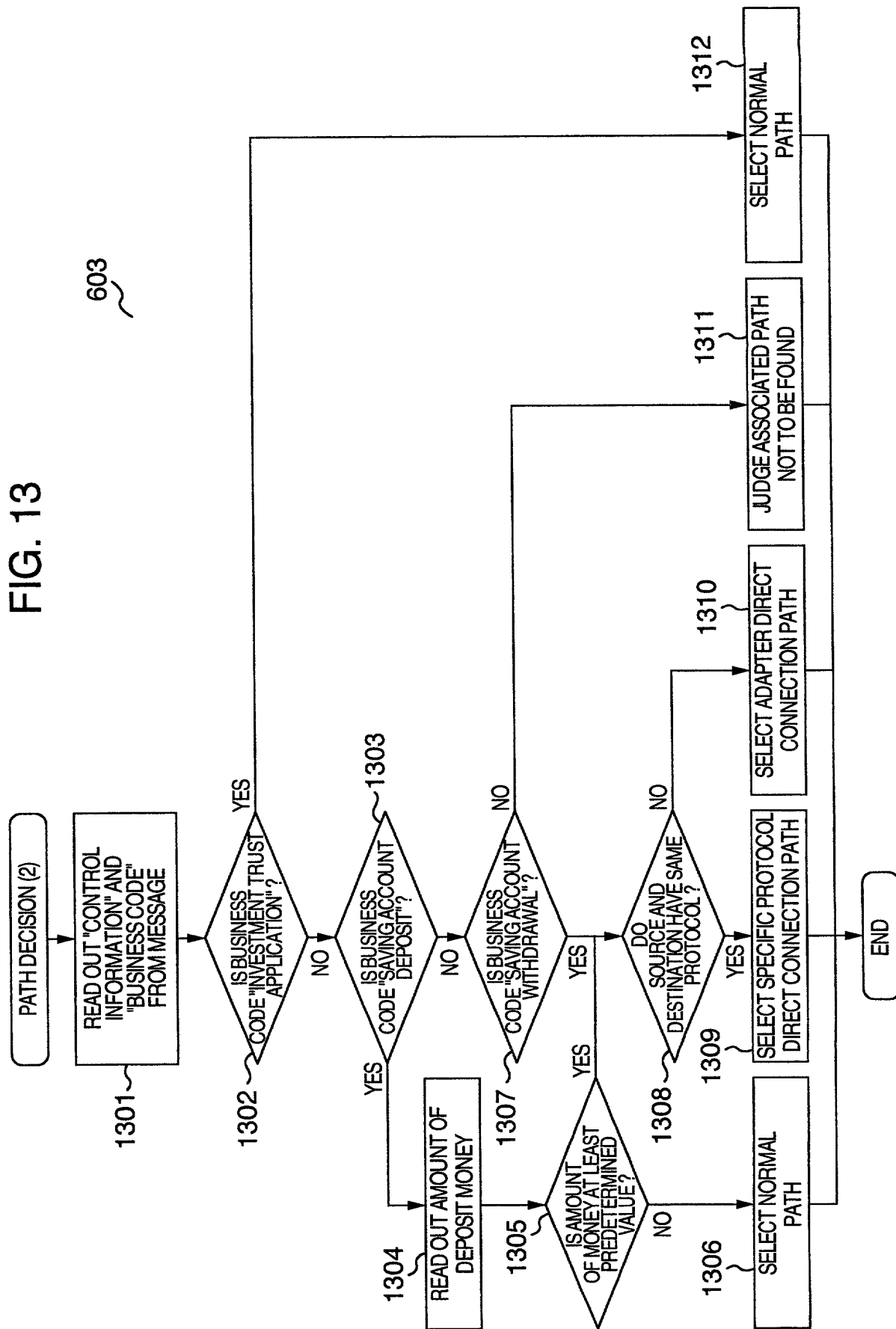


FIG. 13



[illegible][illegible][illegible][illegible]

FIG. 15

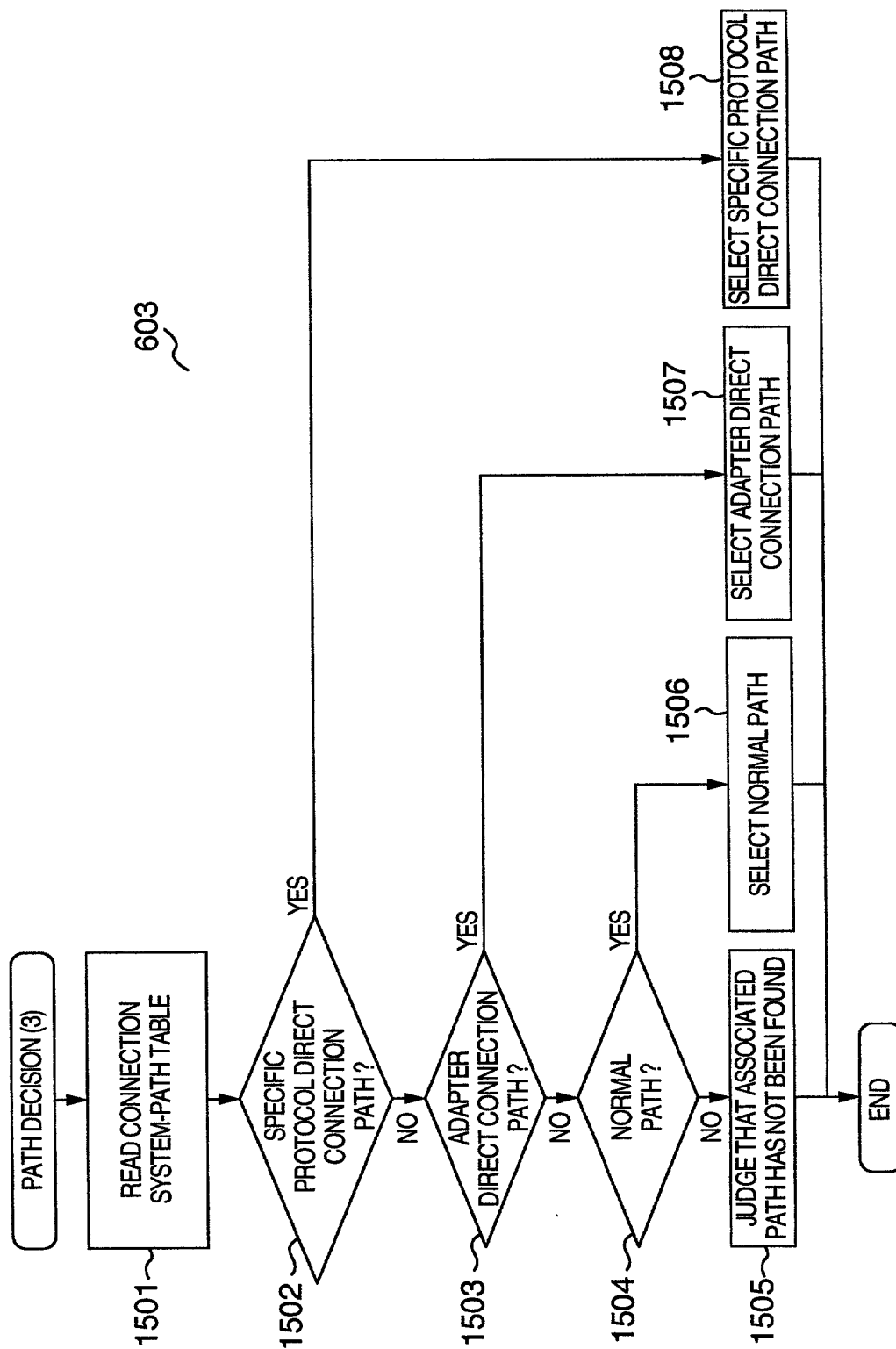
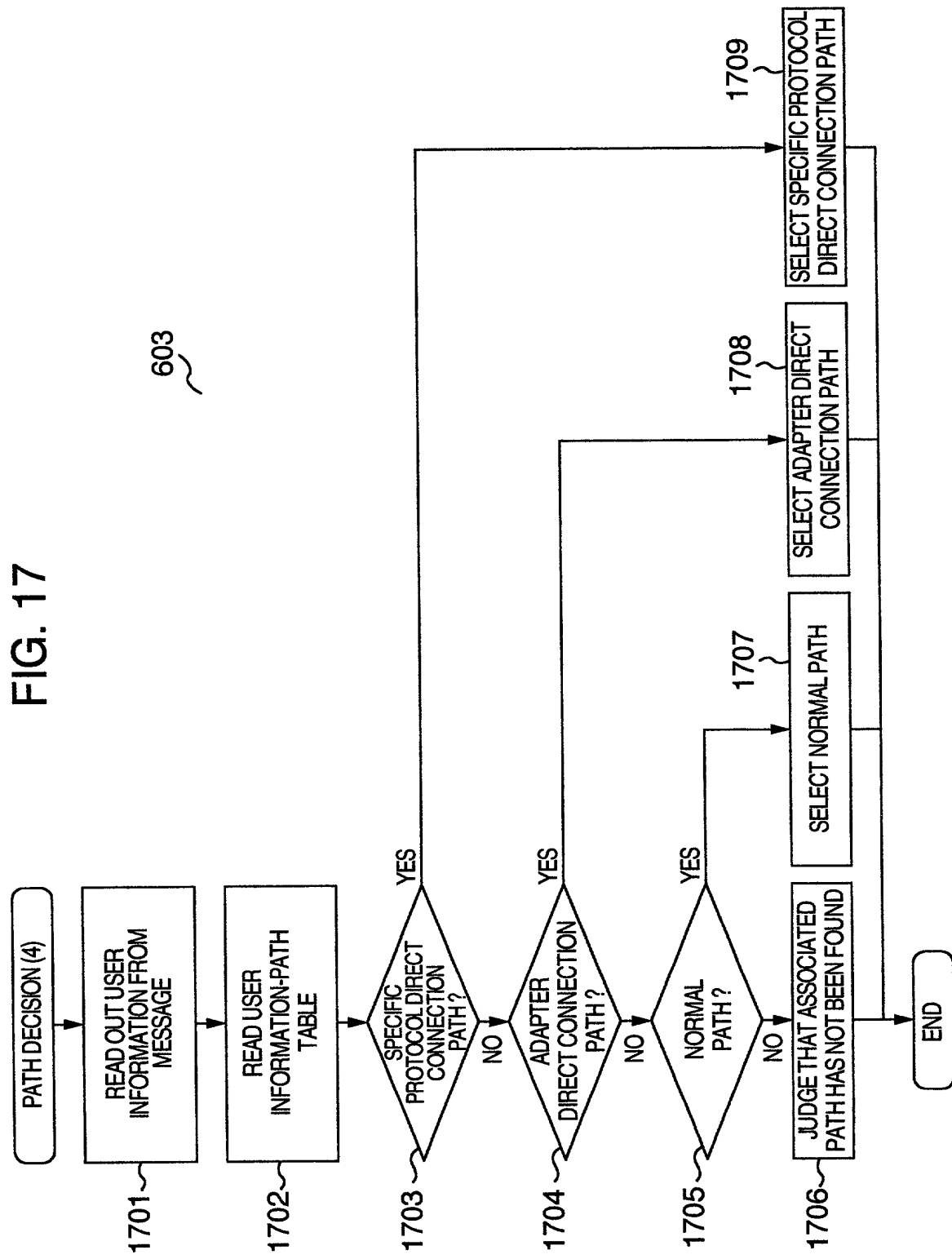


FIG. 17



E5137-01 (*)

PTO/SB/106(8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COOPERATIVE SYSTEM AND METHOD THEREFOR

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked:

☐ __月__日に提出され、米国出願番号または特許協定条約国際出願番号を____とし、
(該当する場合) _____に訂正されました。

☐ was filed on
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示している。

Prior Foreign Application(s)

外国での先行出願

| | |
|-----------|-----------|
| 11-123508 | Japan |
| (Number) | (Country) |
| (番号) | (国名) |
| | |
| (Number) | (Country) |
| (番号) | (国名) |

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| | |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

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| | |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |
| | |
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

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I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

| | |
|------------------------|--------------------------|
| 30/April/1999 | |
| (Day/Month/Year Filed) | |
| (出願年月日) | <input type="checkbox"/> |
| | |
| (Day/Month/Year Filed) | |
| (出願年月日) | <input type="checkbox"/> |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

| | |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

| | |
|--|--|
| (Status: Patented, Pending, Abandoned) | |
| (現況: 特許許可済、係属中、放棄済) | |
| | |
| (Status: Patented, Pending, Abandoned) | |
| (現況: 特許許可済、係属中、放棄済) | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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